

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
MA-502-US**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Toshiaki KAN-O

corresponding to Japanese application No.2000-314199, filed
13 October 2000,

entitled: DISK DRIVE UNIT AND INFORMATION PROCESSING DEVICE

Enclosed are:

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 19 pages of specification. |
| <input checked="" type="checkbox"/> | 8 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor. |
| <input type="checkbox"/> | a copy of an executed declaration of the inventor from prior application Serial No. , filed . |
| <input type="checkbox"/> | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accom- panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet. |
| <input type="checkbox"/> | Information Disclosure Statement with Form PTO-1449. |
| <input type="checkbox"/> | copies of the Information Disclosure Statement citations. |
| <input type="checkbox"/> | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| <input type="checkbox"/> | applicant claims small entity status under 37 CFR 1.27. |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document. |
| <input checked="" type="checkbox"/> | other: Abstract of the Disclosure, Application Data Sheet . |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-
tion.☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

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(continued)

Docket No.
MA-502-US**CLAIMS AS FILED**

| | NO. FILED | NO. EXTRA | RATE | FEE |
|---|-----------|-----------|-----------------------|--------|
| BASIC FEE | | | \$ 740 | \$ 740 |
| TOTAL CLAIMS | 23 - 20 = | 3 | x\$ 18 | 54 |
| INDEPENDENT CLAIMS | 5 - 3 = | 2 | x\$ 84 | 168 |
| MULTIPLE DEPENDENT CLAIM PRESENT | | | \$ 280 | |
| TOTAL | | | | \$962 |
| If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here. | | | SMALL ENTITY TOTAL | \$ |

☒

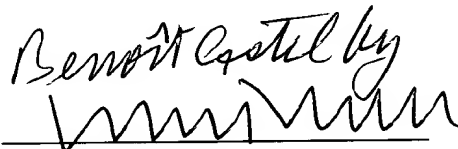
A check in the amount of \$1002 to cover the filing fee is enclosed.

☒The Commissioner is hereby authorized to charge indicated fees and credit any over-
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as
described below. A duplicate copy of this sheet is enclosed.☐

Charge the amount of \$ as filing fee.

☒

Credit any overpayment.

☒Charge any additional fee required under 37 CFR 1.16 and 1.17, during
the pendency of this application.☐Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of
Allowance.Benoit Castel
Registration No. 35,041
745 South 23rd Street
Arlington, VA 22202
Telephone 703/521-2297

October 10, 2001